

Silver Crypto/Digital Asset Specialty

Makes Compliance Realistic for Crypto/Digital Asset Managers

Professional crypto/digital asset investing must meet complex regulatory requirements and withstand investor scrutiny, which are both based on traditional securities investing. For the digital asset industry, compliance with existing securities laws and customary investor conditions is still art, not science.

Anticipating its popularity, and the regulatory attention that soon followed, Silver entered the crypto space early and remains at the forefront of creating industry best practices for firms fully dedicated to investing in digital assets and those incorporating digital assets into a broader investment mandate. When Silver provides Regulatory Compliance Services or Due Diligence Preparation Services to crypto/digital asset firms, our advice and deliverables fit crypto/digital asset operations.

Silver prepares investment firms for success under regulator examination and investor due diligence. We combine multifaceted industry expertise and innovation savvy to align firm processes with regulator and investor expectations without creating unmanageable complexity. Silver's customized guidance reflects each client's size, strategy and culture.

Crypto/Digital Asset Specialty Services

- Regulatory risk and best practice review tailored to crypto/digital asset investing
- Customized, compliance programs that focus on issues most relevant to crypto/digital asset investing:
 - Investment suitability
 - Portfolio management and trading practices
 - Custody and safety of client assets
 - Pricing and valuation
 - Anti-money laundering (AML) risks for crypto/digital assets
- Due Diligence Questionnaires that fit crypto/digital asset investing and operations
- Access person and employee conflicts of interest review and management advice

